

FORMS TO ENCLOSE WITH YOUR APPLICATION

- C&P Application Form
- SIPP Bank Account Application
- Anti-Money Laundering requirements – see details below
- Transfer forms, if applicable

Section Guide

- | | | |
|--------------------------|---|--|
| <input type="checkbox"/> | Compulsory Sections | Section 1 & 9 |
| <input type="checkbox"/> | To appoint an investment manager | Section 2 |
| <input type="checkbox"/> | To appoint a financial adviser | Section 3 |
| <input type="checkbox"/> | Transfers | Section 4 |
| <input type="checkbox"/> | To make personal contributions | Sections 5 + Standing Order for regular payments |
| <input type="checkbox"/> | For your employer to make contributions | Sections 6 + Standing Order for regular payments |
| <input type="checkbox"/> | To start a SIPP on behalf of a minor | Section 7 |
| <input type="checkbox"/> | To nominate death Beneficiaries | Section 8 |

ANTI-MONEY LAUNDERING REQUIREMENTS

In order to comply with anti-money laundering regulations, please supply originals of the following documentation with your application. **YOU MAY NOT USE THE SAME PIECE OF EVIDENCE AS PROOF OF NAME AND ADDRESS.**

Proof of Name – please supply any ONE of :

- Current** full signed passport
- Residential Permit issued to EU Nationals by the Home Office
- Current** UK/EU Photo Driving Licence (both parts)
- Current** FULL UK Driving Licence (old style)
- Firearms Certificate
- State Pension or Benefits Book / Notification letter
- Sub-Contractors Certificate
- HMRC Tax Notification

Proof of Address – please supply any ONE of :

- Most recent Mortgage Statement (not more than 3 months old)
- Current** Local Authority Tax Bill
- Local Authority Rent Card or Tenancy Agreement
- Bank / Building Society / Credit Union Statement or Passbook (not more than 3 months old)
- Utility Bill (mobile phone bills are not acceptable) (not more than 3 months old)
- Current** UK / EU Photo Driving Licence
- Current** FULL UK Driving Licence (old style)
- State Pension or Benefits Book / Notification letter (not more than 3 months old)

Financial Advisers Please note the following :

- Proof of address may be confirmation of a face-to-face meeting. The confirmation should be made by way of an original signed letter, signed by the adviser that held the meeting. The letter must state where the meeting was held, the date of the meeting and confirm that the client was present. Copies of letters are not acceptable.
- Anti-Money Laundering documents MUST be certified copies and MUST be accompanied by your Anti-Money Laundering Certificate.